

11-21-02
2401-160.00

AF/3624
#156
121-02 \$
#16

"Express Mail" mailing label number ET 387051868US
Peter K. Trzyna (Reg. No. 32, 601), hereby certify that this paper or
fee is being filed by facsimile with a confirmation copy being
deposited with the United States Postal Service "Express
Mail Post Office to Addressee" service under 37 CFR 1.10 on the date
indicated below and is addressed to the Commissioner of Patents and
Trademarks, Washington, D.C. 20231 on the date set forth below:

PATENT

Paper No.

File: Newmrkt-P1-98

Signed: [Signature]
Peter K. Trzyna (Reg. No. 32, 601)

Date: November 19, 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Anthony F. Herbst and Wayne F. Perg
Serial No. : 09/197,908
Filed : November 23, 1998
For : DIGITAL COMPUTER SYSTEM AND METHODS FOR
MANAGING AN AUCTION MARKET FOR PREFERRED-
RETURN SECURITIES
Group Art Unit : 3624
Examiner : Geoffrey R. Akers

Honorable Commissioner of Patents
and Trademarks
Washington, D.C. 20231

RECEIVED

NOV 26 2002

GROUP 3600

TRANSMITTAL LETTER

07/30/2004 MJONES1 00000001 500235 09197908
01 FC:2401 SI R160.00 DA

Please enter the following enclosed documents in the above-identified patent
application.


1. Notice of Appeal; and
2. Petition for Extension of Time.

The Commissioner is hereby authorized to charge any fees associated with the
above-identified patent application or credit any overcharges to Deposit Account No. 50-0235.

Please direct all correspondence to the undersigned at the address given below.

Respectfully submitted,

Date: November 19, 2002


Peter K. Trzyna
(Reg. No. 32,601)

P.O. Box 7131
Chicago, IL 60680-7131

(312) 240-0824